

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Hazelton et al.	)
Serial No:	09/754,856	Art Unit
Filed:	January 4, 2001	) 2834 )
For:	CIRCULATING SYSTEM FOR A CONDUCTOR	)
Examiner:	Judson Jones	) )
Examiner.	Judson Jones	) )
Attorney Docket:	PA0321-US / 11269.24	, )

# TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER FINAL ACTION OR NOTICE OF ALLOWANCE 37 CFR §1.97(C)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement (form 1449A/PTO modified) along with the reference.

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The Supplemental Information Disclosure Statement transmitted herewith is being filed <u>after</u> three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing

date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either:

- a. a Final Action under §1.113 or.
- b. a Notice of Allowance under §1.311, whichever occurs first.

### **CERTIFICATE OR FEE**

Accompanying this transmittal is	
X a certification as specified in 37 CFR 1.97(e)	•
the fee set forth in 37 CFR 1.17(p) for submission of an inform disclosure statement under §1.97(c). (\$180.00).	nation
Dated this the 12 <sup>th</sup> day of March. 2004.	

Respectfully submitted,

STEVEN G. ROEDER Attorney for Applicant Registration No. 37,227

THE LAW OFFICE OF STEVEN G. ROEDER 5560 Chelsea Avenue La Jolla, California 92037 Telephone: (858) 456-1951

## **CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this the 12<sup>th</sup> day of March, 2004.

STEVEN G. ROEDER, Attorney for Applicant--Registration No. 37,227

# THE LAW OFFICE OF STEVEN G. ROEDER

Patents, Trademarks and Copyrights

STEVEN G. ROEDER JAMES P. BRODER

sroeder1@san.rr.com jpbroder@san.rr.com 5560 Chelsea Avenue La Jolla, California 92037 TELEPHONE (858) 456-1951 FACSIMILE (858) 456-0744

## Certification Under 37 C.F.R. §1.97(e)

The undersigned hereby certifies that the present **Supplemental** Information Disclosure Statement is being filed:

- X After the mailing date of the first Office Action but before a final rejection or notice of allowance [37 C.F.R. §1.97(c)].
- After a Final Rejection or Notice of Allowance but before payment of the issue fee [37 C.F.R. §1.97 (d)].

The undersigned also certifies the following:

That each item of information contained in the **Supplemental** Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart for an application not more than three (3) months prior to the filing of the Statement.

OR

X That no item of information contained in the **Supplemental** Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(d) more than three (3) months prior to the filing of the Statement.

Dated this the 12<sup>th</sup> day of March, 2004.

STEVEN G. ROEDER

Attorney for Applicant

Registration No. 37,227

THE LAW OFFICE OF STEVEN G. ROEDER 5560 Chelsea Avenue
La Jolla, California 92037
Telephone: (958) 456 1051

Telephone: (858) 456-1951

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB umber.

Substitute for form 1449A/PTO				Complete if Known		
SUPPLEMENTAL			Application Number	09/754,856		
SOPPLEMENTAL				Filing Date	1/4/2001	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			URE	First Named Inventor	Hazelton et al.	
				Art Unit	2834	
			cessary)	Examiner Name	Judson Jones	
Sheet	1	of	1	Attorney Docket Number	PA0321-US /	
	·				11269.24	

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Issue Date MM- DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code (ii known)			Figures Appear
		**************************************			
******************					
		***************************************			
***************************************					
	ļ				
					· ·

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	AO	JP 54-44710 (translation)	4/9/1979	Umemori et al.		<b>√</b>
		10-1-10-10-10-10-10-10-10-10-10-10-10-10				
		**************************************				

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant:

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

There office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.